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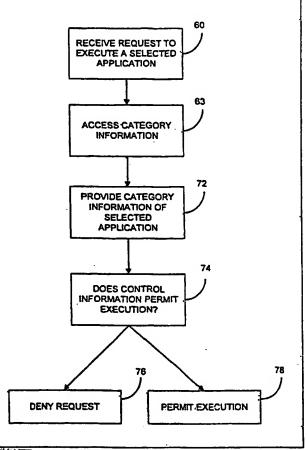
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(54) Title: METHOD AND SYSTEM FOR CONTROLLING EXECUTION OF COMPUTER PROGRAMS

(57) Abstract

A computer implemented method includes receiving requests (52) related to executing a selected application; accessing a database (54) having control information related to a computer user's ability to execute a category of applications; and providing an output (56) indicating whether the selected application should be executed. Instructions or routines can be provided on a computer readable medium to implement the method.



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(54) Title: METHOD AND SYSTEM FOR CONTROLLING EXECUTION OF COMPUTER PROGRAMS

BACKGROUND OF THE INVENTION

The present invention relates to computers. More particularly, the present invention relates to controlling execution of applications on a computer.

Computers are now widely found in many homes. The home computer is typically a desktop personal computer, although with greater processing power and storage capabilities, notebook computers and even hand held devices may be ever more prevalent in 10 the home. In whatever form the computer may take, the computer is a multi-purpose processing appliance that can be used by many to fulfill different needs. For instance, an adult can use the computer to maintain financial or other personal information, perform online investing, perform work related tasks, etc. A school age child may use the computer for completing homework assignments, research or as a form of entertainment. Even preschoolers are being taught to use a computer for educational games.

Although the computer can fulfill many needs for users of different ages, the applications stored or executed thereon are not typically appropriate for all users. In addition, the computer can be a tempting distraction to children, when other activities, such as studying is desired. It is usually impossible for a parent or other guardian to monitor what the computer is being used for throughout the day. In households, the child may even have his or her own computer, for example, in his or her room. Although conveniently placed for quiet and effective studying, monitoring by the parent or guardian is impractical.

Password protection has been used in the past to limit access to a particular application. In yet other systems, an application could be restricted to a particular time of use. However, since new applications can be installed easily on a computer, these types of systems will not prevent a child from executing other programs, which the parent or guardian may not believe is appropriate.

There thus is an ongoing need to provide computer administrators, such as parents, guardians and teachers, the ability to control applications that are executed on a computer. Such a system would allow children to use the computer at appropriate times of the day and for appropriate subject matter without imposing continuous monitoring upon the administrator.

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SUMMARY OF THE INVENTION

A computer implemented method includes receiving requests related to executing a selected application; accessing a database having control information related to a computer user's ability to execute a category of applications; and providing an output indicating whether the selected application should be executed. Instructions or routines can be provided on a computer readable medium to implement the method.

A second aspect of the present invention includes a computer-implemented method that accesses a database having control information related to a computer user's ability to execute a category of applications. An output is provided indicating when an executing application should be at least hindered. Instructions or routines can be provided on a computer readable medium to implement this method.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a block diagram of an exemplary computing environment for the present invention.

Fig. 2 is a block diagram illustrating 5 aspects of the present invention.

Fig. 3 is a flow chart illustrating exemplary steps of the present invention.

DETAILED DESCRIPTION OF THE ILLUSTRATIVE EMBODIMENTS Prior to a detailed discussion of the present an overview of an exemplary operating 10 invention, environment may be helpful. FIG. 1 and the related discussion provide a brief, general description of a suitable computing environment in which the invention implemented. Although not required, invention will be described, at least in part, in the 15 general context of computer-executable instructions, such as program modules, being executed by a personal computer. Generally, program modules include routine programs, objects, components, data structures, etc. that perform particular tasks or implement particular 20 abstract data types. Tasks performed by the programs and modules are described below and with the aid of block diagrams and flow charts. Those skilled in the art can implement the descriptions, block diagrams 25

art can implement the descriptions, block diagrams and flow charts as processor executable instructions, which can be written on any form of a computer readable medium. In addition, those skilled in the art will appreciate that the invention can be practiced with other computer system configurations,

including hand-held devices, multiprocessor systems, microprocessor-based or programmable consumer electronics, network PCs, minicomputers, mainframe computers, and the like. The invention can also be

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practiced in distributed computing environments where tasks are performed by remote processing devices that are linked through a communications network. In a distributed computing environment, program modules can be located in both local and remote memory storage devices.

With reference to FIG. 1, an exemplary system implementing the invention includes a general computing purpose device in. the form 10 conventional personal computer 10, including processing unit 11, a system memory 12, and a system that couples various system components including the system memory to the processing unit 11. The system bus 13 can be any of several types of 15 structures including a memory bus or memory controller, a peripheral bus, and a local bus using any of a variety of bus architectures. The system memory includes read only memory (ROM) 14 and a random access memory (RAM) 15. A basic input/output 20 system 16 (BIOS), containing the basic routine that helps to transfer information between elements within the personal computer 10, such as during start-up, is stored in ROM 14. The personal computer 10 further includes a hard disk drive 17 for reading from and 25 writing to a hard disk (not shown), a magnetic disk drive 18 for reading from or writing to a removable magnetic disk 19, and an optical disk drive 20 for reading from or writing to a removable optical disk such as a CD ROM or other optical media. The hard disk drive 17, magnetic disk drive 18, and optical disk drive 20 are connected to the system bus 13 by a hard disk drive interface 22, magnetic disk drive interface 23, and an optical drive interface 24,

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respectively. The drives and the associated computer-readable media provide nonvolatile storage of computer readable instructions, data structures, program modules and other data for the personal computer 10.

Although the exemplary environment described herein employs the hard disk, the removable magnetic disk 19 and the removable optical disk 21, it should be appreciated by those skilled in the art that other types of computer readable media, which can store data that is accessible by a computer, such as magnetic cassettes, flash memory cards, digital video disks, Bernoulli cartridges, random access memories (RAMs), read only memory (ROM), and the like, can also be used in the exemplary operating environment.

A number of program modules can be stored on the hard disk, magnetic disk 19, optical disk 21, ROM 14 or RAM 15, including an operating system 65, one or more application programs 26, other program modules A user can enter commands 67, and program data 28. and information into the personal computer 10 through input devices such as a keyboard 30, a handwriting tablet 31, a pointing device 32 and a microphone 33. Other input devices (not shown) can joystick, game pad, satellite dish, scanner, or the These and other input devices are often connected to the processing unit 11 through a serial port interface 36 that is coupled to the system bus 13, but can be connected by other interfaces, such as a sound card, a parallel port, a game port or a universal serial bus (USB). A monitor 37 or other type of display device is also connected to the system bus 13 via an interface, such as a video

adapter 38. In addition to the monitor 37, personal computers typically include other peripheral output devices such as a speaker 35 and a printer (not shown).

5 The personal computer 10 can operate in networked environment using logic connections to one or more remote computers, such as a remote computer The remote computer 39 can be another personal computer, a server, a router, a network PC, a peer device or other network node, and typically includes 10 many or all of the elements described above relative to the personal computer 10, although only a memory storage device 40 has been illustrated in FIG. 1. The logic connections depicted in FIG. 1 include a local area network (LAN) 41 and a wide area network 15 (WAN) 42. Such networking environments commonplace in offices, enterprise-wide computer network Intranets and the Internet.

When used in a LAN networking environment, 20 the personal computer 10 is connected to the local area network 41 through a network interface or adapter 43. When used in а WAN networking environment, the personal computer 10 typically includes a modem 44 or other means for establishing communications over the wide area network 42, such as 25 the Internet. The modem 44, which can be internal or external, is connected to the system bus 13 via the serial port interface 36. In a network environment, program modules depicted relative to the personal 30 computer 10, or portions thereof, can be stored in the remote memory storage devices. As appreciated by those skilled in the art, the network connections shown are exemplary and other means of establishing a

communications link between the computers can be used.

Fig. 2 schematically illustrates, in block diagram form, a parental control system or module 50 for controlling execution of applications on 5 computer 10. Generally, in a first aspect of the present invention, the parental control module 50 receives requests 52 related to executing a selected application. Parental control module 50 accesses a database 54 located on any of the storage devices 10 described above. The database 54 includes control information related to a computer user's ability to execute a category of applications. For instance, control information can include at least one of a time of day and a duration of execution for each of the 15 categories of applications. Parental control module 50 provides as an output 56 an indication as to whether the selected application forming the request 52 should be executed. The output 56 can be used by the operating system of the computer 10 to permit the application to 20 be started. In another embodiment, the application receives the output 56 and allows further operation, if permitted.

In another aspect of the present invention,

parental control module 50 monitors execution of a
selected application and provides as output 56 an
indication when the executing application should be
terminated or at least hindered in order to encourage
the computer user to stop execution.

Fig. 3 illustrates the general steps described above as well as other steps that can be performed in a specific embodiment. At step 60, parental control module 50 receives the request 52

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related to executing a selected application. Request 52 can originate from any one of a number of sources in various computer architectures. For instance, request 52 can originate from an application currently running the computer 10, orfrom other computers communicating with computer 10 through a communications link such as a modem or a network. In one typical environment, request 52 originates from the operating system used to manage resources and data flow in the computer 10. In this example, the operating system provides information indicating which application has been selected for execution. Typically, an Application Programming Interface ("API") is used to pass the application's information between the operating system and parental control module 50. APIs are well known communication mediums for passing information between applications and/or the operating system. information can be the name of the executable file for selected application. In other embodiments. additional information can also be provided such as the location of the application in the computer 10 (e.g. the storage device and the folder or directory where the application is stored), or on a remote computer connected to computer 10.

25 In the embodiment illustrated in Fig. parental control module 50 communicates with application manager module 66. In this embodiment, application manager module 66 used to is information stored in a database related 68 30 applications that can be executed on computer 10. It should be noted that application manager module 66 is illustrated separately; however, if desired tasks performed by application manager module 66 can be

incorporated into parental control module 50.

Database 68 stores information related to applications that can be executed on computer 10. Generally, the information stored in database 68 organizes or at least categorizes the applications. For example and without limiting the present invention, applications can be categorized as entertainment applications, educational applications, multimedia applications, reference applications, educational/ entertainment applications, financial applications, etc. If desired, categories can be subdivided. For instance, entertainment applications (e.g. games, etc.) can be categorized based on content such as the level of violence depicted, or other criteria. For example, the criteria can include a rating level from a third party such as the Entertainment Software Rating Board. At step 63, application manager module 66 accesses database 68 via 69 to ascertain the category of the selected application forming request 52. Application manager module 66 provides as an output 70, category of the selected application at step Application manager module 66 can also provide a "null" value, or other selected value, if the selected application belongs to the "unknown" category.

At this point, it should be mentioned that applications generally can be classified as "legacy" and "non-legacy" applications. As used herein, "legacy" refers to applications that cannot or will not honor requests from parental control module 50 to stop execution. This aspect is discussed below. In contrast, "non-legacy" applications refer to applications that accept and honor requests to stop execution. Database 68 can store category information for both "legacy" and

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"non-legacy" applications. In one embodiment, when applications are stored on computer 10, the application is "registered" with application manager module 66, wherein category information is recorded in database The category information can be automatically included in database 68 upon installation of the application on computer 10. The categories can be chosen by the software developer and read from the installation data. One or more categories may be considered relevant for each application. For instance, software developer may consider а particular application to be both entertainment entertainment/educational. Ιf desired. application manager module 66 or parental control module 50 can include editing routines with suitable user interfaces to allow the parent or guardian to override the initial categorizations.

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It should also be noted that database 68 can also include category information for applications that are not currently stored on, or registered with, computer 10. In this manner, if computer 10 is directed to execute an application, such as another legacy application that was unknown to the parent or guardian, parental control module 50 will obtain information about the application from database 68 for use in determining whether the application should be executed. Database 68 can be periodically updated with new information about new or old applications as desired. Updates to database 68 can be through any of the mass storage devices depicted in Fig. 1, or such information can be obtained remotely and downloaded, such as from the Internet.

Referring back to Fig. 3, at step 74,

parental control module 50 accesses database 54 and obtains control information as a function of the category information obtained from database 68. As mentioned above, control information in database 54 indicates whether or not a category of applications is executable. Ιf the category of applications executable, parental control module 50 may also obtain current time and date information 76 (Fig. 2) from suitable resources of computer 10 in order to ascertain if the selected application forming request 52 can be executed at the present time. If desired, database 54 can store information pertaining to the total time that computer 10 has been used to execute each category of applications. Parental control module 50 can then ascertain if any time remains for executing a specific 15 category of applications. Step 74 generally denotes examination of the control information for the category information provided at step 72.

As appreciated by those skilled in the art, control parameters in the control information can vary 20 from category to category, using various forms. example, without limiting the present invention, some categories may be executable at any time of the day, certain users may never execute applications falling in other categories. Likewise, certain categories can be designated for execution only during certain times of the week or day. In addition to, or in the alternative, other parameters, such as duration of execution in a week or a day can also be set for each category of applications and used to 30 control execution. Based on the control information for the category corresponding to request 52, the request to execute the selected application is denied at step

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76, or permitted at step 78. It should be noted that if the request is denied, communication to the user can be performed using a number of techniques. If desired, parental control module 50 can display a suitable dialogue box on the monitor 37 stating that the request has been denied and the reason for denial. If the request was denied based on an inappropriate time to execute the selected application, a suggested time that the user can return to the computer for execution can also be displayed. If desired, any or all of this information can be provided to the application forming the request 42 wherein the application displays the information to the user.

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Another aspect of the present includes monitoring execution of a selected application 15 and providing an output, based on the information stored in database 54, indicating that the executing application should be terminated or at least hindered in order to encourage the computer user to 20 stop execution. As discussed above, applications can be classified into one of two categories "legacy" and "non-legacy". Non-legacy applications are designed to work with parental control module 50, and thus, will honor requests that the applications terminate 25 execution. Parental control module 50 can provide the request to terminate execution using any of a number of techniques. Based on the current time, the duration of execution or other control parameters, parental control module 50 can monitor these parameters with respect to 30 control information for the category of the application executing. When any one of the control parameters has been exceeded, parental control module 50 can provide a message request to the application to terminate

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execution. The application receives the message request and informs the user that execution will be terminated with any relevant information saved for later use. In yet other non-legacy applications, parental control module 50 can assess the relevant control information and provide time information to the executing application indicating when the execution should be automatically terminated. This time information can be provided initially when permission is granted, or during application execution.

However, legacy applications may be more difficult to terminate in view that they have not been written to honor requests from parental control module. 50. Nevertheless, parental control module 50 can take actions designed to at least hinder execution of the 15 application, and thus, encourage the user to stop execution. In one embodiment, parental control module 50 can initiate temporary suspension of the application and display of a dialogue box requesting that the user terminate execution. If the user does not terminate 20 execution within a selected time period, repeated display of the dialogue box and suspension of the executing application will frustrate the user and inhibit execution.

Another technique for hindering execution includes reducing the priority level of the application in a multi-processing environment where simultaneous applications can be executed. Using this technique, the legacy application runs slower in order to encourage the user to terminate the application.

If desired, the operating system can be instructed to terminate the executing application abruptly. In view that abrupt termination can result in

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loss of data, a dialogue box can be displayed warning the user that abrupt termination will occur in a selected time period, and thus in order to save relevant data, the application should be closed. In some situations, the computer user may not be in front of the monitor when the dialogue box warning of abrupt termination is displayed. Therefore, in order to prevent unnecessary loss of data, the time period before abrupt termination occurs begins with acknowledgement of the user to the warning such as by the user closing the dialogue box.

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As discussed in the background section, it is common for a computer to be used by two or more. individuals. In these circumstances, it is also common for some individuals to log into the computer and provide a password or other means to identify the individual to the computer. Database 54 organized to accommodate multiple users wherein control information related to execution of categories can be individually set for each user. In this manner, control information such as which applications are allowed to executed, which types or rating levels of applications are allowed to be executed, when they can be executed and for how long each category of applications can be executed, is separately adjustable for each user. For example, a parent or guardian can be given full access to all applications, while a teenager is limited in content, duration and at what times during the day execution will be allowed.

Some users, such as young children, may not be capable of logging into the computer and providing the necessary password. In such a case, a set of control information can be used by parental control

module 50 when a particular individual has not been identified. This allows the computer to be used by the youngest child wherein a limited set of categories are allowed. Those individuals having the ability to log in would have a unique set of control information adjusted for their needs, presumably allowing more access to executable applications. Suitable user interfaces are provided to allow the parent or guardian the ability to individually adjust the set of control information for each user. For example, if a child has performed particularly well in school, additional time can be provided to execute games on the computer.

Although the present invention has been described with reference to preferred embodiments, workers skilled in the art will recognize that changes may be made in form and detail without departing from the spirit and scope of the invention.

WHAT IS CLAIMED IS:

- A computer implemented method comprising: receiving requests related to executing a selected application;
 - accessing a database having control information related to a computer user's ability to execute a category of applications; and
 - providing an output indicating whether the selected application should be executed.
- 2. The computer implemented method of claim 1 wherein the control information comprises at least one of a time of day and duration of execution for each of the categories of applications.
- 3. The computer implemented method of claim 2 and further comprising receiving current time information.
- 4. The computer implemented method of claim 3 and further comprising receiving current date information.
- 5. The computer implemented method of claim 1 wherein the database includes control information for each of a plurality of users.
- 6. The computer implemented method of claim 5 and further comprising receiving user information capable of identifying a user of the computer, and wherein accessing the control information includes

accessing the control information as a function of the user information.

- 7. The computer implemented method of claim 1 and further comprising providing a second output indicating when an executing application should be at least hindered.
- 8. The computer implemented method of claim 7 wherein providing the second output comprises providing a message to the executing application requesting the application to terminate.
- 9. The computer implemented method of claim 7 wherein providing the second output includes initiating a notice to the user that the executing application will be terminated at a selected time.
- 10. The computer implemented method of claim 9 and further comprising receiving acknowledgement by the user of the notice and initiating a timer upon receipt of the acknowledgement.
- 11. The computer implemented method of claim 1 wherein the control information includes allowable rating levels of applications.
- 12. A computer readable medium having instructions readable by a processor that when implemented comprise:

receiving requests related to executing a selected application;

accessing a database having control

information related to a computer user's ability to execute a category of applications; and

providing an output indicating whether the selected application should be executed.

- 13. The computer readable medium of claim 12 wherein the control information comprises at least one of a time of day and duration of execution for each of the categories of applications.
- 14. The computer readable medium of claim 13 and further comprising receiving current time information.
- 15. The computer readable medium of claim 14 and further comprising receiving current date information.
- 16. The computer readable medium of claim 12 wherein the database includes control information for each of a plurality of users.
- 17. The computer readable medium of claim 16 and further comprising receiving user information capable of identifying a user of the computer, and wherein accessing the control information includes accessing the control information as a function of the user information.
- 18. The computer readable medium of claim 12 and further comprising providing a second output indicating when an executing application should be at least hindered.

- 19. The computer readable medium of claim 18 wherein providing the second output comprises providing a message to the executing application requesting the application to terminate.
- 20. The computer readable medium of claim 18 wherein providing the second output includes initiating a notice to the user that the executing application will be terminated at a selected time.
- 21. The computer readable medium of claim 20 and further comprising receiving acknowledgement by the user of the notice and initiating a timer upon receipt of the acknowledgement.
- 22. The computer readable medium of claim 12 wherein the control information includes allowable rating levels of applications.
- 23. A computer readable medium having data and instructions comprising:
 - a database having control information related to a computer user's ability to execute a category of applications; and
 - a routine receiving requests related to executing a selected application, the routine accessing the database and, as a function of the control information, providing an output indicating whether the selected application should be executed.

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- 24. The computer readable medium of claim 23 wherein the control information comprises at least one of a time of day and duration of execution for each of the categories of applications.
- 25. The computer readable medium of claim 24 wherein the routine receives current time information.
- 26. The computer readable medium of claim 25 wherein the routine receives current date information.
- 27. The computer readable medium of claim 23 wherein the database includes control information for each of a plurality of users.
- 28. The computer readable medium of claim 27 wherein the routine receives user information capable of identifying a user of the computer, the routine accessing the control information as a function of the user information.
- 29. The computer readable medium of claim 23 wherein the routine provides a second output indicating when an executing application should be at least hindered.
- 30. The computer readable medium of claim 29 wherein the second output comprises a message to the executing application requesting the application to terminate.
- 31. The computer readable medium of claim 29 wherein the second output initiates a notice to the

user that the executing application will be terminated within a prescribed time period.

- 32. The computer readable medium of claim 31 wherein the routine receives acknowledgement by the user of the notice, the routine initiating a timer upon receipt of the acknowledgement.
- A computer implemented method comprising: 33. accessing a database having control information related to а computer user's ability to execute a category of applications; and providing an output indicating when an executing application should be at least hindered.
- 34. The computer implemented method of claim 33 wherein the control information comprises at least one of a time of day and duration of execution for each of the categories of applications.
- 35. The computer implemented method of claim 33 wherein providing the output comprises providing a message to the executing application requesting the application to terminate.
- 36. The computer implemented method of claim 33 wherein providing the output includes initiating a notice to the user that the executing application will be terminated at a selected time.
- 37. The computer implemented method of claim 36

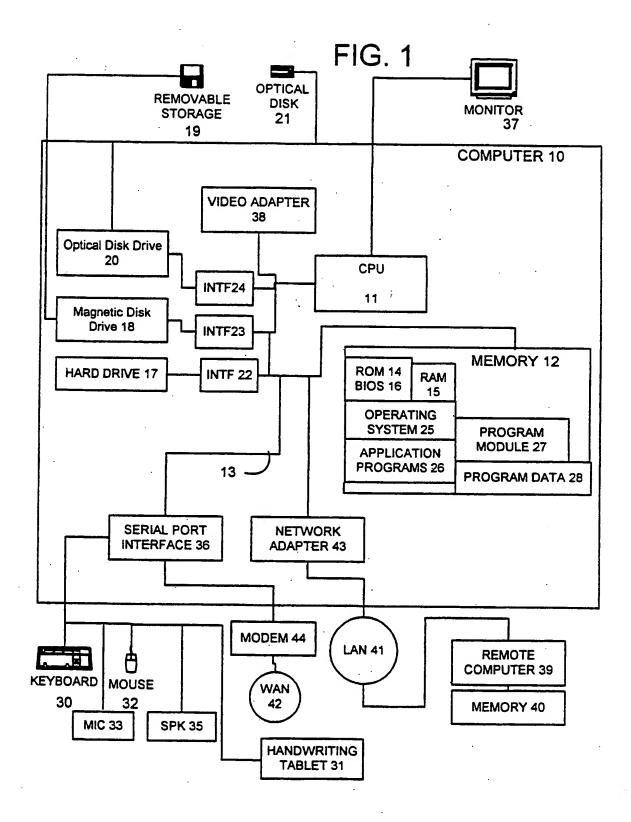
and further comprising receiving acknowledgement by the user of the notice and initiating a timer upon receipt of the acknowledgement.

- 38. A computer readable medium having instructions readable by a processor that when implemented comprise:
 - accessing a database having control information related to a computer user's ability to execute a category of applications; and
 - providing an output indicating when an executing application should be at least hindered.
- 39. The computer readable medium of claim 38 wherein the control information comprises at least one of a time of day and duration of execution for each of the categories of applications.
- 40. The computer readable medium of claim 38 wherein providing the output comprises providing a message to the executing application requesting the application to terminate.
- 41. The computer readable medium of claim 38 wherein providing the output includes initiating a notice to the user that the executing application will be terminated at a selected time.
- The computer readable medium of claim 41 and further comprising receiving acknowledgement by the user of the notice and initiating a timer upon receipt

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of the acknowledgement.

- 43. A computer readable medium having data and instructions comprising:
 - a database having control information related to a computer user's ability to execute a category of applications; and
 - a routine accessing the database and, as a function of the control information, providing an output indicating when an executing application should be at least hindered.
- 44. The computer readable medium of claim 43 wherein the control information comprises at least one of a time of day and duration of execution for each of the categories of applications.
- 45. The computer readable medium of claim 43 wherein the output comprises a message to the executing application requesting the application to terminate.
- 46. The computer readable medium of claim 43 wherein the output initiates a notice to the user that the executing application will be terminated at a selected time.
- 47. The computer readable medium of claim 46 wherein the routine receives acknowledgement by the user of the notice, the routine initiating a timer upon receipt of the acknowledgement.



1 / 3 SUBSTITUTE SHEET (RULE 26)

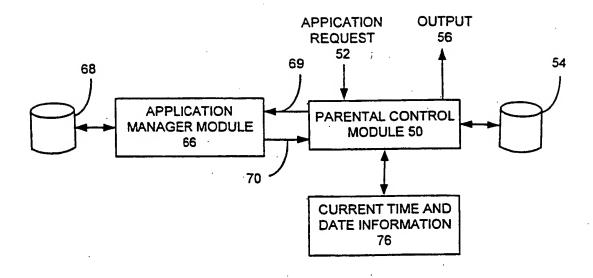


FIG. 2

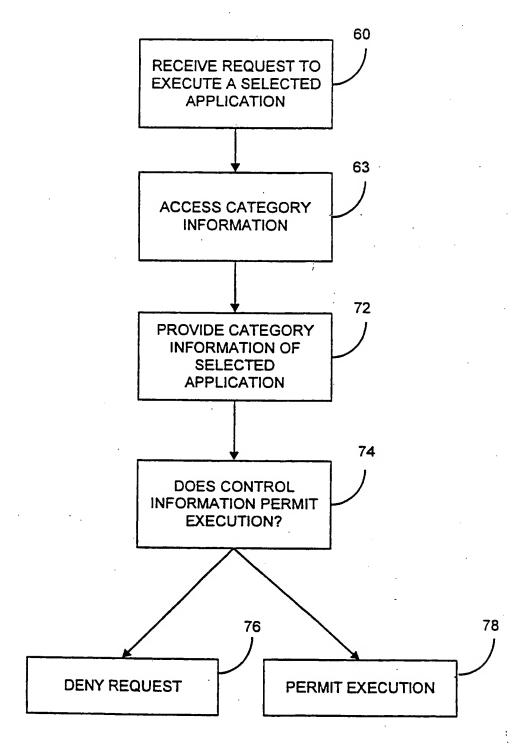


FIG. 3

3 / 3 SUBSTITUTE SHEET (RULE 26)

INTERNATIONAL SEARCH REPORT

inter onal Application No PCT/US 00/08868

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 G06F1/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) IPC 7 GO6F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

C. DOCUM	ENTS CONSIDERED TO BE RELEVANT	
Category *	Citation of document, with Indication, where appropriate, of the relevant passages	Relevant to daim No.
X	US 5 809 230 A (PEREIRA J L A) 15 September 1998 (1998-09-15)	1-6, 12-17, 23-28, 33,34, 38,39,
Y	abstract; figures 3-5 column 2, line 31 - line 41 column 3, line 59 -column 8, line 51 column 10, line 34 - line 47	7-10, 18-21, 29-32,
	-/-	35-37, 40-42, 45-47

Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
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31 July 2000	07/08/2000
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